

10-09-01

CPA/2700#

<b>CONTINUED PROSECUTION APPLICATION (CPA)</b> <b>REQUEST TRANSMITTAL (Large Entity)</b> Submit an original, and a duplicate for fee processing. (Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))		<b>Docket No.</b> B208-346A <input type="checkbox"/> <b>DUPLICATE</b> (Check box if applicable)																																											
<b>First Named Inventor</b>	<b>Examiner</b>	<b>Group/Art Unit</b>																																											
Kenichi Nagasawa, et al.	H. Nguyen	2615																																											
<p align="center"><b>Address to:</b> Assistant Commissioner for Patents Box CPA Washington, D.C. 20231</p> <p>This is a request for filing a <input checked="" type="checkbox"/> continuation, or <input type="checkbox"/> divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number <u>09/437,694</u> filed on <u>November 10, 1999</u> and entitled: <b>SIGNAL RECORDING APPARATUS</b></p> <p>1. <input checked="" type="checkbox"/> Enter the unentered amendment previously filed on <u>September 17, 2001</u> under 37 CFR 1.116 in the prior nonprovisional application.</p> <p>2. <input type="checkbox"/> A preliminary amendment is enclosed.</p> <p>3. <input type="checkbox"/> This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).</p> <p>a. <input type="checkbox"/> <b>DELETE</b> the following inventor(s) named in the prior nonprovisional application:</p> <p>b. <input type="checkbox"/> The inventor(s) to be deleted are set forth on a separate sheet attached hereto.</p> <p>4. <input type="checkbox"/> A new power of attorney or authorization of agent is enclosed.</p> <p>5. <input type="checkbox"/> An Information Disclosure Statement (IDS) is enclosed:</p> <p>a. <input type="checkbox"/> PTO-1449</p> <p>b. <input type="checkbox"/> Copies of IDS Citations</p> <p>6. <input checked="" type="checkbox"/> The fee for this application is calculated as follows:</p> <table border="1"><thead><tr><th colspan="6">CLAIMS AS FILED</th></tr><tr><th>For</th><th>#Filed</th><th>#Allowed</th><th>#Extra</th><th>Rate</th><th>Fee</th></tr></thead><tbody><tr><td>Total Claims</td><td>7</td><td>- 20 =</td><td>0</td><td>x \$18.00</td><td>\$0.00</td></tr><tr><td>Indep. Claims</td><td>2</td><td>- 3 =</td><td>0</td><td>x \$84.00</td><td>\$0.00</td></tr><tr><td colspan="5">Multiple Dependent Claims (check if applicable) <input type="checkbox"/></td><td>\$0.00</td></tr><tr><td colspan="5">10/10/2001 NGUYEN 00000104 09437694</td><td>BASIC FEE \$740.00</td></tr><tr><td colspan="5">01 FC:131 740.00 OP</td><td>TOTAL FILING FEE \$740.00</td></tr></tbody></table>				CLAIMS AS FILED						For	#Filed	#Allowed	#Extra	Rate	Fee	Total Claims	7	- 20 =	0	x \$18.00	\$0.00	Indep. Claims	2	- 3 =	0	x \$84.00	\$0.00	Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	10/10/2001 NGUYEN 00000104 09437694					BASIC FEE \$740.00	01 FC:131 740.00 OP					TOTAL FILING FEE \$740.00
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**RECEIVED**  
OCT 12 2001  
Technology Center 2600

10/10/2001 NGUYEN 00000104 09437694

01 FC:131

740.00 OP

BASIC FEE

\$740.00

TOTAL FILING FEE

\$740.00

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)**  
**(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))**

7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to  
Deposit Account No. 18-1644

- ☒ fees required under 37 C.F.R. 1.16.  
☐ fees required under 37 C.F.R. 1.17.  
☐ fees required under 37 C.F.R. 1.18.

8. ☐ A check in the amount of \_\_\_\_\_ is enclosed.

9. ☒ Also enclosed:

**USPTO Credit Card Payment Form for the amount of \$1,270.00 and Petition for Extension of Time Under 37 CFR 1.136(a).**

10. ☒ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

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**NOTES**

**Submit an original, and a duplicate for fee processing.**

**FILING QUALIFICATIONS:** The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

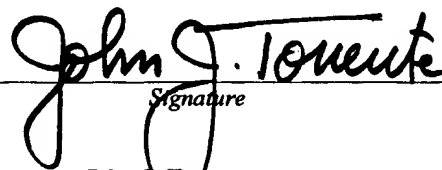
**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: October 5, 2001



*Signature*

John J. Torrente

*Typed or printed name*

26,359

*Registration Number (if applicable)*

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Filed Under 1.34(a)

cc: